

Electronic Acknowledgement Receipt

EFS ID:	5743357
Application Number:	10777312
International Application Number:	
Confirmation Number:	5534
Title of Invention:	Method for evaluating differences in the past performance of an asset-class population of book-valued investments
First Named Inventor/Applicant Name:	James Allen Charnley
Customer Number:	03017
Filer:	David Josephs
Filer Authorized By:	
Attorney Docket Number:	W012 P00839-US1
Receipt Date:	21-JUL-2009
Filing Date:	12-FEB-2004
Time Stamp:	17:19:10
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$555
RAM confirmation Number	3332
Deposit Account	020900
Authorized User	JOSEPHS,DAVID R.

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		W12P839US1_amendment_rev _7-21-09.pdf	85328 b633717c68f79ed1d7939605c0f854f1234 c0f7	yes	13
Multipart Description/PDF files in .zip description					
	Document Description		Start		End
	Amendment/Req. Reconsideration-After Non-Final Reject		1		1
	Claims		2		9
	Applicant Arguments/Remarks Made in an Amendment		10		13
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	ADVpart2--061606-Rlsubmit. pdf	3289508 8d9ee136bc04b35ed443ba13f6b215af3f 2b78	no	22
Warnings:					
Information:					
3	Miscellaneous Incoming Letter	wtrstr-website-070909.pdf	7729762 5a1cd26f3af3021a877ab6863424c648c58 400	no	74
Warnings:					
Information:					
4	Extension of Time	W12P839US1_ext_of_time.pdf	312600 498357735dc382f1b4c54c986dbbba1c7 06cc	no	2
Warnings:					
Information:					
5	Fee Worksheet (PTO-875)	fee-info.pdf	30138 55b5d872c0065c9070c762d5adb71b69063 d856e	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			11447336		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.